

Substitute for form 14499/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1		 of	3	

	Complete if Known
Application Number	10/807,643
Filing Date	March 23, 2004
First Named Inventor	KAPLAN, AARON V.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	015471-000910US

Examiner Initials*	nminer Cite Number Kind Code ²		de² (// known) MM-DD-YYYY				Relevant Passages or Relevant Figures Appear		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. It is find of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitu	te for form 1449A/PT	0	-	Complete if Known			
				Application Number	10/807,643		
INFO	ORMATION	l DI	SCLOSURE	Filing Date	March 23, 2004		
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	KAPLAN, AARON V.		
				Art Unit	Unassigned		
	(use as many sh	eets a	s necessary)	Examiner Name	Unassigned		
Sheet	2	of	3	Attorney Docket Number	015471-000910US		

			U.S. PATENT DO	CUMENTS+	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Initials*	Cite No.1	Country Code ³	Number ⁴	Kind Code ^s (If known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	⊤ 6	
								 	
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Examiner Signature	/Ann Schillinger/	Date Considered	08/08/2006

Substitute	for form 14498/I	РТО		Complete if Known			
	D144T10		OL OOUDE	Application Number	10/807,643		
	· · · · · · -		CLOSURE	Filing Date	March 23, 2004		
STAT	STATEMENT BY APPLICANT			First Named Inventor	KAPLAN, AARON V.		
				Art Unit	Unassigned		
(use as many :	sheets as	necessary)	Examiner Name	Unassigned		
Sheet	3	of	3	Attorney Docket Number	015471-000910US		

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2				
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Examiner	,	Date	
Signature		Considered	

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

		PTO/SB/08 Equivalan
	Application No.	10/807,643
INFORMATION DISCLOSURE	Filing Date	March 23, 2004
STATEMENT BY APPLICANT	First Named Inventor	Aaron V. Kaplan et al.
STATEMENT BY APPLICANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Jackson
 CUEET 1 OF 1	Attamou Docket No.	ANIATE COLA

U.S. PATENT DOCUMENTS									
Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,587 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear					
	6,540,779	04/2003	Richter et al.						
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		No. No. Example: 1,234,587 B1	Cite No. Document Number Number - Kind Code (if known) Example: 1,234,587 B1 Publication Date MM-DD-YYYY	Cite No. Document Number Number Publication Date Number - Kind Code (if known) Example: 1,234,587 B1 Publication Date MM-DD-YYYY					

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ1
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FORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)
SHEET 1 OF 2

Application No.	10/807,643
Filing Date	March 23, 2004
First Named Inventor	Aaron V. Kaplan et al.
Art Unit	3738
Examiner	Suzette Jackson
Attorney Docket No.	ANVIL.001A

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 81	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		

			FOREIGN	PATENT DOCUMENTS			
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Where Relev	umns, Lines ant Passages igures Appear	T ¹
AS	1.	EP 0712 614 B1	11/1995	Advanced Cardiovascular Systems			>
AS	2.	EP 0876 805 A2	05/1998	Turnland et al.			\
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. AS	5.	EP 1 325 716 A1	01/2003	Cordis Corporation			/
AS	6.	EP 1 325 717 A2	01/2003	Cordis Corporation			✓
AS	7.	EP 1 362 564 A1	05/2003	Cordis Neurovascular, Inc.			1
AS	8.	EP 1 433 441 A2	11/1999	Cordis Corporation			\checkmark
AS	9.	WO 96/38101	12/1996	Meadox Medicals, Inc.			✓
AS	10.	WO 98/24503	06/1998	Shaknovich			~
AS	11.	WO 00/69367	11/2000	Boston Scientific Corporation			1
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AS	14.	WO 2004/058100 A2	07/2004	Novostent Corporation			\checkmark
AS	15.	WO 2004/089249 A1	10/2004	William A. Cook Australia PTY. LTD			✓
AS	16.	WO 2004/103217 A1	12/2004	Scimed Life Systems, Inc.			/

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T¹	
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Examiner Signature	/Ann Schillinger/	Date Considered 08/08	3/2006

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	Application No.	10/807,643
INFORMATION DISCLOSURE	Filing Date	March 23, 2004
STATEMENT BY APPLICANT	First Named Inventor	Aaron V. Kaplan et al.
STATEMENT BY AFFEIGANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Jackson
SHEET 2 OF 2	Attorney Docket No.	ANVIL.001A

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
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Examiner Signature /Ann Schillinger/

Date Considered 08/08/2006

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		PTO/SB/08 Equivalent
FOURTH SUPPLEMENTAL	Application No.	10/807,643
	Filing Date	March 23, 2004
INFORMATION DISCLOSURE	First Named Inventor	Aaron V. Kaplan
STATEMENT BY APPLICANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Gherbi
SHEET 1 OF 2	Attorney Docket No.	ANVIL.001A

			U.S. PATENT	DOCUMENTS		
Examiner Initials	Cite No.	Document Number Number - Kind Cods (if known) Example: 1,234,567 81	Fublication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Unes Where Relevant Passages or Relevant Figures Appear	
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Examiner Signature /Ann Schillinger/	Date Considered	08/08/2006
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		PTO/SB/08 Equivalent
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE	Application No.	10/807,643
	Filing Date	March 23, 2004
	First Named Inventor	Aaron V. Kaplan
STATEMENT BY APPLICANT	Art Unit	3738
(Multiple sheets used when necessary)	Examiner	Suzette Gherbi
SHEET 2 OF 2	Attomey Docket No.	ANVIL.001A

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Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
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Examiner Signature /Ann Schillinger/ Date Considered 08/08/2006

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PAGE 4/4* RCVD AT 4/7/2006 7:15:09 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0* DNIS:2738300 * CSID:949 760 9502* DURATION (mm-ss):01-48